

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Alejandro WIECHERS

Confirmation No.:

Application No.: Unassigned

Examiner:

Filing Date: 08/07/2003

Group Art Unit:

Title: HIGH PERFORMANCE FILE ENCAPSULATION AND SUBMITTAL IN A COMMERCIAL  
PRINTING ENVIRONMENT

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before  
mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:  
☐ Statement under 37 CFR 1.97(e)(1) or (2), and  
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).  
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☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with  
copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s)  
believe(s) may be material to the examination of this application and for which there may be a duty to  
disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications  
and other foreign language information listed on PTO Form 1449, as presently understood by the  
individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the  
attached sheet, or where a foreign language patent is cited in a search report or other action by a  
foreign patent office in a counterpart foreign application, an English language version of the search  
report or action which indicates the degree of relevance found by the foreign office is listed on form PTO  
1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

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I hereby certify that this is being deposited with the  
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By \_\_\_\_\_

Typed Name:

Respectfully submitted,

Alejandro WIECHERS

By 

William T. Ellis

Attorney/Agent for Applicant(s)

Reg. No. 26,874

Date: 08/07/2003

Since the U.S. Patent and Trademark Office has waived the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003, copies of cited U.S. patents and patent application publications are not being submitted herewith. Copies of foreign patent documents and non-patent literature are being submitted in accordance with 37 CFR 1.98(a)(2).

Form PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 200207443-1		SERIAL NO. Unassigned		
INFORMATION DISCLOSURE CITATION  (Use several sheets if necessary)				APPLICANT Alejandro WIECHERS				
				FILING DATE 08/07/2003		GROUP ART UNIT Unassigned		
<b>U.S. PATENT DOCUMENTS</b>								
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE	
	A1	5,963,641	10/05/1999	CRANDELL et al.	380	2		
	A2	5,995,723	11/30/1999	SPERRY et al.	395	114		
	A3	6,247,011	06/12/2001	JECHA et al.	707	9		
	A4	2002/0071134	06/13/2002	JACKSON et al.	358	1.13		
	A5	6,407,820	06/18/2002	HANSEN et al.	358	1.12		
	A6	6,411,314	06/25/2002	HANSEN et al.	345	769		
	A7	2002/0101608	08/01/2002	WHITMARSH	358	1.15		
	A8	6,429,947	08/06/2002	LAVERTY et al.	358	1.15		
	A9	6,441,920	08/27/2002	SMITH	358	1.2		
	A10	6,462,756	10/08/2002	HANSEN et al.	345	764		
	A11	6,480,291	11/12/2002	DANIELS et al.	358	1.11		
	A12	6,509,974	01/21/2003	HANSEN	358	1.12		
<b>FOREIGN PATENT DOCUMENTS</b>								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
	A13	02165319	06/26/1990	JAPAN				
	A14	07064744	03/10/1995	JAPAN				
	A15	8065490	03/08/1996	JAPAN				
	A16	2000071576	03/07/2000	JAPAN				
	A17	1197838	04/17/2002	EUROPE				
<b>OTHER DOCUMENTS</b> (Including Author, Title, Date, Pertinent Pages, Etc.)								
	A18	Article – LEADTOOLS – “Leading Technology in Imaging Developer Toolkits”						
	A19	Article – EXTENSIS – “Preflight Pro – Features”						
	A20	Article - EXTENSIS – “PrintReady – Features”						
	A21	Article – EXTENSIS – “Collect Pro – What’s New”						
EXAMINER				DATE CONSIDERED				
* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include any copy of this form with next communication to applicant.								